

Part 2B of Form ADV: *Brochure Supplement*

David William Sullivan
7 Water Street
South Glastonbury, CT 06073
Telephone: (860) 657-4150

Sullivan Financial, LLC
7 Water Street
South Glastonbury, CT 06073
Telephone: (860) 657-4150

06/09/2017

This brochure supplement provides information about David William Sullivan that supplements the Sullivan Financial, LLC brochure. You should have received a copy of that brochure. Please contact us at jsullivan@sullfin.com if you did not receive Sullivan Financial, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about David William Sullivan is available of the SEC's website at www.adviserinfo.sec.gov.

Item 2. Educational Background and Business Experience

David William Sullivan, Owner

Year of Birth: 1954

Education:

Mr. Sullivan graduated from Catholic University in 1976 with a Bachelor of Arts in Political Science.

Professional Designation:

Chartered Financial Consultant (ChFC) certification in 1994 from The American College.¹

Business Background:

Owner of Sullivan Financial, LLC, 06/2002 – present.

Licensed independent insurance Producer/Agent in Connecticut and other select states.

Registered Representative, Main Street Management Company, 1998 – 12/2003.

Item 3. Disciplinary Information

Mr. Sullivan does not have any history of disciplinary events.

Item 4. Other Business Activities

As disclosed in Item 2. above, Mr. Sullivan is also an independent insurance Producer/Agent.

Item 5. Additional Compensation

Mr. Sullivan may receive compensation in connection with his license as an independent insurance Producer/Agent, but he does not receive any additional economic benefit from third parties for providing advisory services.

Item 6. Supervision

As an owner of Sullivan Financial, LLC, Mr. Sullivan is supervised by Jane Sullivan-Klett, the firm's Chief Compliance officer. Jane Sullivan-Klett is responsible for ensuring that Mr. Sullivan adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Jane Sullivan-Klett is (860) 657-4150.

¹ **Chartered Financial Consultant (ChFC)**

The ChFC program is administered by the American College, Bryn Mawr, Pennsylvania. This designation has the same core curriculum as the CFP® designation, plus two or three additional elective courses that focus on various areas of personal financial planning. In addition to successful completion of an exam on areas of financial planning, including income tax, insurance, investment and estate planning, candidates are required to have a minimum of three (3) years experience in a financial industry position.

